

Open Banking

Welcome

Welcome to the Open Banking Dynamic Purchasing System (DPS) Registration questionnaire.

You will only have to complete this questionnaire once to register for the Open Banking DPS. This questionnaire allows you to register your Open Banking Services with Government Commercial Agency (GCA).

As part of the registration process, you will be asked to provide information about the types of Open Banking Services you provide. This information will allow buyers to invite you to bid for contracts that are suited to you directly, streamlining the contracting process. This questionnaire should be updated if your business changes its service offerings to ensure all opportunities offered are valid.

Financial Risk

3.1.1. Are you able to provide your completed [Attachment 3a Financial Viability Risk Assessment Tool](#)?

Please read [Attachment 3 Financial Viability Risk Assessment Tool Instructions](#) before completing.

Yes

Please upload your completed Attachment 3a Financial Viability Risk Assessment Tool
There is no limit to the number of files you can upload.

Choose File No file selected

No

3.1.2. Are you able to provide your published accounts as detailed in [Attachment 3 Financial Viability Risk Assessment Tool Instructions](#)?

If your company has been in operation for less than 3 years please provide all available accounts.

Yes

Please upload your accounts
There is no limit to the number of files you can upload.

No file selected

No

3.1.3. Are you able to provide your parent company published accounts as detailed in [Attachment 3 Financial Viability Risk Assessment Tool Instructions](#)?

If your parent company has been in operation for less than 3 years please provide all available accounts.

Yes

Please upload your parent company accounts
There is no limit to the number of files you can upload.

No file selected

No

N/A

3.1.4. Are you able to provide your ultimate parent company published accounts as detailed in [Attachment 3 Financial Viability Risk Assessment Tool Instructions](#)?

If your ultimate parent company has been in operation for less than 3 years please provide all available accounts.

Yes

Please upload your ultimate parent company accounts
There is no limit to the number of files you can upload.

No file selected

No

N/A

3.1.5. If you are bidding as a Consortium, are you able to provide your completed [Attachment 3a Financial Viability Risk Assessment Tool](#) for each member?

Please read [Attachment 3 Financial Viability Risk Assessment Tool Instructions](#) before completing.

Please note you must provide this for each member, this should also include where applicable their parent company and ultimate parent company information.

Yes

Please upload your completed Attachment 3a Financial Viability Risk Assessment Tool for each member
There is no limit to the number of files you can upload.

No file selected

No

3.1.6. Are you able to provide the parent company published accounts for each member as detailed in [Attachment 3 Financial Viability Risk Assessment Tool Instructions](#)?

If your parent company has been in operation for less than 3 years please provide all available accounts.

Yes

Please upload the parent company accounts for each member
There is no limit to the number of files you can upload.

No file selected

No

3.1.7. Are you able to provide the ultimate parent company published accounts for each member as detailed in [Attachment 3 Financial Viability Risk Assessment Tool Instructions](#)?

If your ultimate parent company has been in operation for less than 3 years please provide all available accounts.

Yes

Please upload the ultimate parent company accounts for each member
There is no limit to the number of files you can upload.

No file selected

No

Certificate of Technical and Professional Ability (COPTAs)

Please only provide certificate(s) for the service(s) you are applying for in Section A of Attachment 2b Certificate of Technical and Professional Ability.

Certificate(s) will be evaluated PASS/FAIL, please refer to details on how your certificate(s) will be evaluated in Attachment 2b Certificate of Technical and Professional Ability for further guidance.

Please refer to Mandatory requirements as set out in [Attachment 2b Certificate of Technical and Professional Ability](#)

If you do not provide the certificate(s) we have asked for, your bid will be excluded from this DPS.

3.2.1. How many Certificates of Technical and Professional Ability do you wish to provide? (optional)

Certificates

Certificate of Technical and Professional Ability (COPTAs)

3.2.2. Please upload Attachment 2b Certificate of Technical and Professional Ability for your first example.

There is no limit to the number of files you can upload.

 No file selected

3.2.3. Please upload Attachment 2b Certificate of Technical and Professional Ability for your second example.

There is no limit to the number of files you can upload.

 No file selected

3.2.4. Please upload Attachment 2b Certificate of Technical and Professional Ability for your third example.

There is no limit to the number of files you can upload.

 No file selected

Insurance Requirements

There is a legal requirement for certain employers to hold Employer's (Compulsory) Liability Insurance of £5 million as a minimum. See the Health and Safety Executive website for more information.

Once you are appointed only to the DPS, you will be required to provide copies of your insurance certificates. However, if you wish you can upload them below now.

3.3.1. Please confirm whether you (and if applicable, your consortium members) already have, or can commit to obtain, when appointed to the DPS agreement, the levels of insurance cover indicated below and fully specified within the DPS bid pack?

You will be required to provide evidence of the above when appointed to the DPS agreement.

Yes

No

3.3.2. Employer's (Compulsory) Liability Insurance = £5,000,000 (optional)

There is a legal requirement for certain employers to hold Employer's (Compulsory) Liability Insurance of £5 million as a minimum. See the [Health and Safety Executive](#) website for more information.

I confirm

3.3.3. Public Liability Insurance = £1,000,000 (optional)

I confirm

3.3.4. Professional Indemnity Insurance = £2,000,000 (optional)

I confirm

3.3.5. Please upload insurance certificate (this is optional, please see guidance note). (optional)

Once you are appointed to the DPS, you will be required to provide copies of your insurance certificates. However, if you wish you can upload them below now.

There is no limit to the number of files you can upload.

No file selected

Data Protection

This question is evaluated PASS/FAIL.

Your bid will FAIL, if you do not answer 'Yes' to this question.

3.4.1. Please confirm that you (and if applicable, all consortium members) have in place, or that you will have in place by contract award, the human and technical resources to perform the contract to ensure compliance with the General Data Protection Regulation and to ensure the protection of rights of data subjects.

Yes

No

Health & Safety - All Procurements

This question is evaluated PASS/FAIL.

Your bid will FAIL, if you do not answer 'Yes' to this question.

3.5.1. Please confirm that you (and if applicable, all consortium members) have adequate arrangements in place to manage health and safety effectively and control significant risks relevant to the requirement (including risks from the use of contractors, where relevant).

Yes

No

Payments in Contracts Above £5m per annum

If you intend to use a supply chain for delivery under this DPS agreement, you must demonstrate you have effective systems in place to ensure a reliable supply chain. This question is focused on exploring your payment systems.

[Business payment practices and performance: reporting requirements - GOV.UK](#)

3.6.1. Please confirm if you intend to use a supply chain for this DPS agreement (i.e. services that are used wholly or substantially for the purpose of performing or contributing to the performance of the whole or part of call-off contracts).

Yes

No

Payments

These questions are evaluated PASS/FAIL.

Your bid will FAIL, if you do not answer 'Yes' to these questions.

3.7.1. Please confirm that you have the systems in place to pay those in your supply chain promptly and effectively, i.e. within your agreed contractual terms.

Yes

No

3.7.2. Please confirm you have procedures for resolving disputed invoices with those in your supply chain promptly and effectively. This should include all situations where payments are due; not all payments involve an invoice.

Yes

No

3.7.3. Please confirm that for public sector contracts awarded under the Public Contract Regulations 2015 you have systems in place to include (as a minimum) 30 day payment terms in all of your supply chain contracts and require that such terms are passed down through your supply chain.

Requirement under the [Public Contracts Regulations 2015 \(Regulation 113\)](#)

Yes

No

Payments

Public and Private Sector Contracts

In a financial year there are normally two reporting periods. The first is the six calendar months starting on the first day of the business' financial year. So, if a financial year started on the 5th of a month, the last day of that reporting period would be the 4th of the month, six months later. The second reporting period starts on the day after the first period ends and runs until the end of the financial year.

Please provide the percentage of invoices paid by you to those in your immediate supply chain on all contracts for the most recent six month reporting period. This should include the percentage of invoices paid within each of the following categories (this should include all situations where payments are due; not all payments involve an invoice):

3.7.4. within 30 days

Percentage

3.7.5. between 31 and 60 days

Percentage

3.7.6. in 61 days or more

Percentage

3.7.7. due but not paid by the last date for payment under agreed contractual terms

Percentage

Payments

Please provide the percentage of invoices paid by you to those in your immediate supply chain on all contracts for the prior six month reporting period. This should include the percentage of invoices paid within each of the following categories (this should include all situations where payments are due; not all payments involve an invoice):

3.7.8. within 30 days

Percentage

3.7.9. between 31 and 60 days

Percentage

3.7.10. in 61 days or more

Percentage

3.7.11. due but not paid by the last date for payment under agreed contractual terms

Percentage

3.7.12. It is acceptable to cross refer to information that has previously been submitted to Government or other bodies or is publicly available (provided it covers the required reporting periods), including data published in accordance with the Reporting on Payment Practices and Performance Regulations 2017.

If you do wish to cross refer, please provide details and/or insert link(s).

Please provide further details

3.7.13. If you are unable to demonstrate that all invoices have been paid within the agreed contractual terms, please explain why.

Please provide further details

3.7.14. If you are unable to demonstrate that 95% or more of invoices payable to your supply chain on all contracts have been paid within 60 days of the receipt of the invoice in at least one of the last two six months reporting periods please provide an action plan for improvement which includes (as a minimum) the following:

Identification of the primary causes of failure to pay:
95% of all supply chain invoices within 60 days; and
if relevant under question 3.7.13, all invoices within agreed terms.

Actions to address each of these causes.

A mechanism for and commitment to regular reporting on progress to the bidder's audit committee (or equivalent).

A plan signed off by your director

Plan published on its website (this can be a shorter, summary plan).

Guidance:

If you have an existing action plan prepared for a different purpose, it is acceptable to attach this but it should contain the above features.

Note: if you have indicated 'no' at question 3.7.1 your action plan must also address steps taken to pay within agreed terms. (optional)

Please provide further details

Modern Slavery V1 Low Risk

PASS

Bidder is 'a relevant commercial organisation' and is compliant with the requirements contained within Section 54 of the Modern Slavery Act 2015 and associate guidance and their statement includes information relating to:

- a) the organisation's structure, its business and its supply chains;
- b) its policies in relation to slavery and human trafficking;
- c) its due diligence processes in relation to slavery and human trafficking in its business and supply chains;
- d) the parts of its business and supply chains where there is a risk of slavery and human trafficking taking place, and the steps it has taken to assess and manage that risk;
- e) its effectiveness in ensuring that slavery and human

trafficking is not taking place in its business or supply chains, measured against such performance indicators as it considers appropriate;

f) the training and capacity building about slavery and human trafficking available to its staff;

OR

Bidder is not a 'relevant commercial organisation' but has a turnover of more than £36m and has provided a link to an equivalent statement or document which demonstrates information relating to (a) to (f) above.

PASS

Bidder is 'a relevant commercial organisation' and is non-compliant with the requirements contained within Section 54 of the Modern Slavery Act 2015 and associated guidance and/or its statement does not include information relating to (a) to (f) above. However, a satisfactory explanation has been provided and they have provided assurances that they will be compliant (where applicable) in advance of contract award.

OR

Bidder is not 'a relevant commercial organisation' but has a turnover of over £36m and has not provided a link to an equivalent statement or document and/or the statement or document does not include information relating to (a) to (f) above. However, a satisfactory explanation has been provided and they have provided assurances that they will be compliant (where applicable) in advance of contract award.

FAIL

Bidder is 'a relevant commercial organisation' and is non-compliant with the requirements contained within Section 54 of the Modern Slavery Act 2015 and associated guidance and/or the statement does not include information relating to (a) to (f) above. No satisfactory reason or assurances of future compliance (where applicable) provided.

OR

Bidder is not 'a relevant commercial organisation' but has a turnover of over £36m and has not provided a link to an equivalent statement or document and/or the statement does not include information relating to (a) to (f) above. No satisfactory reason or assurances of future compliance (where applicable) provided.

3.8.1. Are you a relevant commercial organisation as defined by section 54 ("Transparency in supply chains etc.") of the [Modern Slavery Act 2015](#) ("the Act")?

This means do you have a total turnover of £36m or more per annum and carry out your business or part of your business in the UK?

Yes

No

Modern Slavery V1 Compliant

3.9.1. Are you compliant with the annual reporting requirements contained within [Section 54 of the Act 2015](#)

Yes

No

3.9.2. If your latest modern slavery statement is published, please provide:
the web address
precise reference of the documents
(optional)

Published link

3.9.3. If your statement is not published, please provide a copy.

Any modern slavery statement should contain at least the following information:

- a. the organisation's structure, its business and its supply chains;
- b. its policies in relation to slavery and human trafficking;
- c. its due diligence processes in relation to slavery and human trafficking in its business and supply chains;
- d. the parts of its business and supply chains where there is a risk of slavery and human trafficking taking place, and the steps it has taken to assess and manage that risk;
- e. its effectiveness in ensuring that slavery and human trafficking is not taking place in its business or supply chains, measured against such performance indicators as it considers appropriate;
- f. the training and capacity building about slavery and human trafficking available to its staff; (optional)

There is no limit to the number of files you can upload.

 No file selected

3.9.4. If all of the required information above is not included in your modern slavery statement, please provide an explanation as to why not and/or assurances that it will be included before contract award. (optional)

Please provide further details

Modern Slavery V1 Not Compliant

3.10.1. As you have answered No at 3.8.1, confirming that you are not a relevant commercial organisation subject to Section 54 of the Modern Slavery Act 2015, do you have a turnover of £36m or more per annum?

Yes

No

Modern Slavery V1 Not Relevant but over £36m

3.11.1. If you are not a relevant commercial organisation subject to Section 54 of the Modern Slavery Act 2015 (for example you do not carry on your business, or part of your business, in the UK) but you have a turnover of £36m or more per annum, please provide the information below.

A link to your modern slavery statement or other statement or document containing at least the following information:

- a. the organisation's structure, its business and its supply chains;
- b. its policies in relation to slavery and human trafficking;
- c. its due diligence processes in relation to slavery and human trafficking in its business and supply chains;
- d. the parts of its business and supply chains where there is a risk of slavery and human trafficking taking place, and the steps it has taken to assess and manage that risk;
- e. its effectiveness in ensuring that slavery and human trafficking is not taking place in its business or supply chains, measured against such performance indicators as it considers appropriate;
- f. the training and capacity building about slavery and human trafficking available to its staff

Please provide further details

3.11.2. If your statement is not published, please provide a copy.

Your modern slavery statement or other statement or document should contain at least the following information:

- a. the organisation's structure, its business and its supply chains;
- b. its policies in relation to slavery and human trafficking;
- c. its due diligence processes in relation to slavery and human trafficking in its business and supply chains;
- d. the parts of its business and supply chains where there is a risk of slavery and human trafficking taking place, and the steps it has taken to assess and manage that risk;
- e. its effectiveness in ensuring that slavery and human trafficking is not taking place in its business or supply chains, measured against such performance indicators as it considers appropriate;
- f. the training and capacity building about slavery and human trafficking available to its staff (optional)

There is no limit to the number of files you can upload.

 No file selected

3.11.3. If all of the required information above is not included in your modern slavery statement or equivalent, please provide an explanation as to why not and/or assurances that it will be included before contract award. (optional)

Cyber Essentials [Basic/Plus] Scheme

The questions in this section will be evaluated PASS / FAIL.

Your bid will FAIL, if you do not answer 'Yes' to question 3.12.1 in this section.

You will be required to provide evidence of the required Cyber Essentials [Plus] certificate following appointment to the DPS.

Refer to [NCSC Statement of MOVEit Transfer vulnerability](#) for more information.

In relation to the services, please tell us if you comply with one of the following criteria (i) or (ii) at question 43:

(i) You (and if applicable, your consortium members) have a current and valid Cyber Essentials [Plus] certificate which has been awarded by one of the government approved Cyber Essentials [Plus] accreditation bodies within the most recent 12 months and where your organisation proposes to use key subcontractors to carry out the services and these key subcontractors shall be involved in handling sensitive and personal information with regard to the services, such key subcontractors will have in place a valid Cyber Essentials [Plus] certificate.

OR

(ii) You (and if applicable, your consortium members) do not have a current and valid Cyber Essentials [Plus] certificate which has been awarded by one of the government approved Cyber Essentials [Plus] accreditation bodies but you are working towards gaining it, and will be in a position to confirm that you have been awarded a current and valid Cyber Essentials [Plus] certificate by one of the government approved accreditation bodies, once appointed to the DPS and where your organisation proposes to use key subcontractors to carry out the services and these key subcontractors shall be involved in handling sensitive and personal information with regard to the services, such key subcontractors will have in place a valid Cyber Essentials [Plus] certificate by before appointment to the DPS.

3.12.1. In relation to the services, please tell us if you comply with one of the criteria above.

(i) - Yes

(ii) - Yes

No - none of the criteria

Service Type

3.13.1. Please select the '**Service Type**' your organisation is able to provide. Tick all that apply.

Digital Payments

Account Information

CoP (Confirmation of Payee)

Guidance

You are advised to select only the relevant services to your organisation. Buyers using the RM6301 Marketplace will assess your credentials for specific contract opportunities during call off competitions.

Service Type

Guidance

You are advised to select only the relevant services to your organisation. Buyers using the RM6301 Marketplace will assess your credentials for specific contract opportunities during call off competitions.

3.13.2. Please confirm the services your organisation is able to provide for **Digital Payments**. Tick all that apply.

Payments In - API Integration

Payments In - Payment Page Design and Build

Payments Out

Direct Debit & BACS Direct Credit

3.13.3. Please confirm the services your organisation is able to provide for **Account Information**. Tick all that apply.

Fraud Services

Identity Services

Income Verification Services

Affordability Services

Risk Identification Services

Transaction/ Fund Tracing and Monitoring Services

3.13.4. Please confirm the services your organisation is able to provide for **CoP (Confirmation of Payee)**.

Confirmation of Payee

Registered on Open Banking Directory

For the type of Open Banking Service that you are proposing to deliver, you (or any key subcontractor you intend to use to deliver the service), must be registered with the relevant authority and listed on the [Open Banking Directory](#)

For Digital Payments and Account Information Services, details can be found on this webpage <https://www.openbanking.org.uk/>

For Confirmation of Payee Services, details can be found on this webpage <https://www.wearepay.uk/what-we-do/overlay-services/confirmation-of-payee/>

This question is evaluated PASS/FAIL.
Your bid will FAIL, if you do not answer 'Yes' to this question.

3.14.1. Do you meet this requirement?

Yes

No

Contract Value

3.15.1. Please select the '**Contract Value**' your organisation is able to provide. Tick all that apply.

£1 - £999,999

£1m - £2,499,999

£2,500,000 - £4,999,999

>£5m

Hosting

Guidance

You are advised to select only the relevant options to your organisation. Buyers using the RM6301 Marketplace will assess your credentials for specific contract opportunities during call off competitions.

3.16.1. Please select the **Hosting location** from which your organisation is able to provide **Digital Payments** services. Tick all that apply.

- UK
- Outside UK
- Non UK / EEA

3.16.2. Please select the **Hosting location** from which your organisation is able to provide **Account Information** services. Tick all that apply.

- UK
- Outside UK
- Non UK / EEA

3.16.3. Please select the **Hosting location** from which your organisation is able to provide **CoP (Confirmation of Payee)** services. Tick all that apply.

- UK
- Outside UK
- Non UK / EEA

3.16.4. Please select **Delivery Capabilities** which your organisation is able to provide services. Tick all that apply.

- API Integration
- Platform Access
- Offline/Data Exports

3.16.5. Please select the **Settlement Route** which your organisation is able to provide services. Tick all that apply.

Direct Payment (not Settlement Account)

Via Settlement Account

Carbon Reduction

Public procurement of contracts with an annual contract value of £5,000,000 and above should take into account suppliers' carbon reduction plans and commitment to Net Zero by 2050. This Policy is set out in detail in [Procurement Policy Note 06/21](#)

The requirement is that you will have in place and provide a link to your Carbon Reduction Plan published to your website (using the template provided within the PPN) confirming your commitment to achieving Net Zero by 2050 in the UK, and setting out the environmental management measures that you have in place and which will be in effect and utilised during the performance of the contract.

*If you are a new supplier and have been trading for less than 12 months, you must still confirm your commitment to achieving Net Zero by 2050 by publishing a full Carbon Reduction Plan (CRP) as soon as possible.

*If you do not have a website where you can evidence a published Carbon Reduction Plan containing the minimum required information as per the PPN guidance, you must provide an electronic copy of your Carbon Reduction Plan to GCA containing the minimum required information [Guidance on adopting and applying the PPN 06/21](#)

3.17.1. Are you a new supplier and have been trading for less than 12 months?

Yes

No

Carbon Reduction - continued

3.18.1. Please confirm that you, and if applicable each of your consortium members, have detailed your environmental management measures by completing a Carbon Reduction Plan which meets the required reporting standard.

Yes

No

3.18.2. Please provide a link to your most recently published Carbon Reduction Plan (CRP) which:
confirms your commitment to achieving Net Zero by 2050;
contains emissions reported for all required Scopes (in accordance with the required methodology);
indicates the environmental management measures that you will apply when performing the contract; and
has a reporting period of no greater than 12 months prior to the date of commencement of this procurement.
Is published on your company website.

In order to submit a parent company CRP in lieu of an individual CRP, you must be wholly owned by parent company, the submitted CRP must:
apply to both you and your parent company,
must confirm your joint commitment to achieving net zero by 2050.
be adopted jointly and with environmental management measures clearly applied to you when performing the relevant contract.

Where the response is being completed on behalf of a consortium of suppliers, a link should be provided to the CRP of each consortium member.

Please provide link to recently published CRP

3.18.3. If emissions in the Carbon Reduction Plan(s) are not reported for any Scopes or only for some Scopes, or the reporting period is more than 12 months from the date of commencement of the procurement, please provide an explanation why. (optional)

Please provide further details

3.18.4. Please confirm that your organisation, and if applicable each of your consortium members), is taking steps to reduce your GHG Emissions over time and is publicly committed to achieving Net Zero by 2050.

Yes

No

3.18.5. Please provide your current Net Zero Target Date (Lead Bidder)

Day (DD)	Month (MM)	Year (YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Carbon Reduction - continued

Supplier Emissions Declaration

3.18.6. Baseline Year Scope 1 Emissions

Emissions

3.18.7. Baseline Year Scope 2 Emissions

Emissions

3.18.8. Baseline Year Scope 3 Emissions

Emissions

3.18.9. Current / Most Recent Reporting Year Scope 1 Emissions

Emissions

3.18.10. Current / Most Recent Reporting Year Scope 2 Emissions

Emissions

3.18.11. Current / Most Recent Reporting Year Scope 3 Emissions

Emissions

3.18.12. If you, or if applicable any of your consortium members, do not have a website, you must attach a copy of your Carbon Reduction Plan using Attachment 2e Carbon Reduction Plan Template and confirm that you are willing to provide your plan upon request to Buyers under the DPS who may request it in future within 30 days of the request being made. (optional)

[Attachment 2e - Carbon Reduction Plan template v1.0.docx](#)

There is no limit to the number of files you can upload.

 No file selected

Carbon Reduction - New Supplier

Question 3.19.1 is evaluated PASS/FAIL. Your bid will fail if you do not answer 'Yes' to this question.

3.19.1. Please confirm that your organisation (and if applicable, each of your consortium members) is taking steps to reduce your GHG Emissions over time and is publicly committed to achieving Net Zero by 2050.

Yes

No

3.19.2. If you are a new organisation who cannot provide scope emissions data for the required reporting periods, please confirm the date by which you will publish a full Carbon Reduction Plan to your website. (optional)

Day (DD)	Month (MM)	Year (YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>

DPS Appointment Form Population Template

Please provide the information to be used to populate the DPS Appointment form and any applicable Contract Schedules if appointed.

Please note, Buyers will have access to the contact details you provide here. Therefore please ensure the information provided is correct as Buyers will use this to contact you.

3.20.1. Supplier Name (registered name if registered)

Name

3.20.2. Registration number

Registration number

3.20.3. Supplier DPS Agreement Manager

Name:

Job title:

Email address:

Phone number:

3.20.4. Supplier Authorised Representative

Name:

Job title:

Email address:

Phone number:

3.20.5. Supplier Compliance Officer

Name:

Job title:

Email address:

Phone number:

3.20.6. Supplier Data Protection Officer

Name:

Job title:

Email address:

Phone number:

3.20.7. Supplier Marketing Contact

Name:

Job title:

Email address:

Phone number:

3.20.8. Please provide any commercially sensitive information including

Day (DD) Month (MM) Year (YYYY)

Details

Duration of confidentiality:

Declaration

3.21.1. I declare that to the best of my knowledge the answers submitted and information contained in this complete document are correct and accurate, including parts 1, 2 and part 3.

I declare that, upon request and without delay I will provide the certificates and/or documentary evidence referred to in this document except where this documentation can be accessed by the contracting authority via a national database free of charge or the contracting authority already possesses the documentation.

I understand that the information will be used in the selection process to assess my suitability to participate further in this procurement.

I understand that the authority may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section.

I am aware of the consequences of serious misrepresentation.

I confirm

Name

Day (DD)	Month (MM)	Year (YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Name of organisation

Role in organisation

Phone number

E-mail address

Address lookup

Street

Town or City

County or State

Postcode

Country

Sign Off

Thank you for completing the Open Banking DPS questionnaire.

By providing this information you confirm that you are an authorised representative of the organisation for which you have responded. In addition, this confirms that the information you have provided for the questionnaire represents a true and honest account of your organisations performance and that no information has been omitted which should reasonably have been shared.

To review your answers and make any final amendments prior to sending, please click "**Save and view answers**" below.

To submit your Open Banking DPS questionnaire, please click "**Continue**" below.

DPS Assessing

Have you reviewed the related self cleaning evidence?

Yes

No

Explain the reason why self cleaning evidence is not reviewed

Are you satisfied with the evidence and explanation provided by the supplier?

Yes

No

Explain the reason why you are not satisfied with the evidence or explanation provided by supplier

DPS Assessing

Please confirm one of the following actions for this supplier submission.

Direct this submission to Part 1

Reject this submission from the Open Banking DPS

Confirm the rejection of this supplier for Open Banking DPS

Explain the reason for rejection of this supplier for Open Banking DPSQ.

Please note this reason for rejection, will be included in a notification to the Supplier.

Day (DD) Month (MM) Year (YYYY)

<input type="text"/>	<input type="text"/>	<input type="text"/>
----------------------	----------------------	----------------------

DPS Appointment Form

Please review and confirm your agreement to the following DPS Appointment Form information, before proceeding with your application for the RM6301 Open Banking.

Government Commercial Agency

The Minister for the Cabinet Office represented by its executive agency the Government Commercial Agency (GCA).

Its offices are on: 9th Floor, The Capital, Old Hall Street, Liverpool L3 9PP.

Supplier

The name, address, and registration number of the Supplier will be captured as part of the selection questionnaire during the DPS Registration process.

Dynamic Purchasing System Contract

This dynamic purchasing system access agreement between GCA and the Supplier allows the Supplier to be considered for Order Contracts to supply the Deliverables in Service Filter Categories as detailed in bid pack Attachment 1- Products and Service Matrix. You cannot deliver in any other Filter Categories under this Contract. Any references made to other Filter Categories in this Contract do not apply.

This opportunity is advertised in the Contract Notice in the Official Journal of the European Union RM6301 (OJEU Notice).

Deliverables

- Digital Training & Support Services
- See DPS Schedule 1 (Specification) for further details.

Dynamic Purchasing System Start Date

The date in which you agree to the Terms and Conditions; and become 'Appointed' to the DPS as detailed in paragraph 6.7 of this DPS Needs document, is the start date of your DPS Agreement. If you become appointed in the first thirty (30) days from the date of the OJEU submission (21/05/2020) your DPS agreement start date will be 22/06/2020.

Dynamic Purchasing System Expiry Date

DPS Optional Extension Period

DPS Appointment Form

Please review the following DPS Incorporated Terms, before proceeding with your application for the RM6301 Open Banking.

The following documents are incorporated into the DPS Contract. Where numbers are missing we are not using these schedules. If the documents conflict, the following order of precedence applies:

- This DPS Appointment Form
- Any DPS Special Terms (see Section 9 'DPS Special Terms' in the DPS Appointment Form)
- Joint Schedule 1 (Definitions) RM6301
- Joint Schedule 11 (Processing Data) RM6301
- The following Schedules for RM6301 (in equal order of precedence):
 - DPS Schedule 1 (Specification)
 - DPS Schedule 4 (DPS Management)
 - DPS Schedule 5 (Management Levy and Information)
 - DPS Schedule 6 (Order Form Template and Order Schedules) including the following template Order Schedules:
 - Order Schedule 1 (Transparency Reports)
 - Order Schedule 2 (Staff Transfer)
 - Order Schedule 3 (Continuous Improvement)
 - Order Schedule 4 (Order Tender)
 - Order Schedule 5 (Pricing Details)
 - Order Schedule 6 (ICT Services)
 - Order Schedule 7 (Key Supplier Staff)
 - Order Schedule 8 (Business Continuity and Disaster Recovery)
 - Order Schedule 9 (Security)
 - Order Schedule 10 (Exit Management)
 - Order Schedule 12 (Clustering)
 - Order Schedule 13 (Implementation Plan and Testing)
 - Order Schedule 14 (Service Levels)
 - Order Schedule 15 (Order Contract Management)
 - Order Schedule 16 (Benchmarking)
 - Order Schedule 17 (MOD Terms)
 - Order Schedule 18 (Background Checks)
 - Order Schedule 19 (Scottish Law)
 - Order Schedule 20 (Order Specification)
 - Order Schedule 21 (Northern Ireland Law)
 - Order Schedule 23 (Supplier Furnished Terms)
 - DPS Schedule 7 (Order Procedure)
 - DPS Schedule 8 (Self Audit Certificate)
 - DPS Schedule 9 (Cyber Essentials Scheme)
 - Joint Schedule 2 (Variation Form)

- Joint Schedule 3 (Insurance Requirements)
 - Joint Schedule 4 (Commercially Sensitive Information)
 - Joint Schedule 6 (Key Subcontractors)
 - Joint Schedule 7 (Financial Difficulties)
 - Joint Schedule 8 (Guarantee)
 - Joint Schedule 10 (Rectification Plan)
-
- GCA Core Terms - DPS (version 1.0.1)
 - Joint Schedule 5 (Corporate Social Responsibility) RM6301
 - DPS Schedule 2 (DPS Application) RM6301 as long as any part of the DPS Application that offers a better commercial position for GCA or Buyers (as decided by GCA) take precedence over the documents above

DPS Appointment Form

Please review the following information, before proceeding with your application for the RM6301 Open Banking.

DPS Pricing

Details in DPS Schedule 3 (DPS Pricing)

Insurance

Details in Annex of Joint Schedule 3 (Insurance Requirements).

Cyber

- Essentials Certification
- Cyber Essentials Scheme Basic - see DPS Schedule 9

Management Levy

The Supplier will pay, excluding VAT, 1 % of all the Charges for the Deliverables invoiced to the Buyer under all Order Contracts.

Supplier DPS Agreement Manager

Supplier Authorised Representative

Supplier Compliance Officer

Supplier Data Protection Officer

Supplier Marketing Contact

Key Subcontractors

Details of subcontractors where applicable have been registered and provided where applicable as part of your SQ DPS Submission.

GCA Authorised Representative

Name

Job Title

Email Address

Telephone

By selecting "**I Confirm**" you confirm that you comply with the above DPS Appointment Form statements and requirements. Once you have selected the tick box to confirm your compliance and acknowledgement of the above, select '**Save and continue**' to be presented with your non watermarked DPS Appointment Form.

I Confirm

Confirm DPS Agreement

You have successfully completed the SQ for Open Banking DPS. The DPS Appointment Form will be electronically signed and managed by Government Commercial Agency (GCA) and you.

Final sign off to ensure a legally binding DPS Appointment Form between GCA and you is completed by you ticking your acceptance in the below box.

By ticking, you are confirming that you comply with the following agreements and documentation, which you should download and retain:

- [DPS Agreement](#) (which includes Payment of management Levy)
- [Privacy Notice & GCA DPS Terms of Use](#)
- Answer Link
- Answer Link
- [DPS Bid Pack](#) (which includes the Terms and Conditions, DPS Needs and Customer Needs documents)

If you are electronically signing the DPS Appointment Form for the RM6301 Open Banking as the lead contact for a Group of Economic Operators (consortia) your electronic signature will be the signature that represents all members of the consortia as detailed within your submission for this DPS.

Once you have ticked that you agree to the covenants above you will be formally 'Appointed' to the DPS for Open Banking.

Failure to tick that you agree at this stage will prevent you from securing your appointment on to the DPS for Open Banking, as there will be no legally binding DPS Appointment Form between GCA and you.

I Agree

Confirm Rejection

Confirm the rejection of this supplier for Open Banking DPS.

I Confirm

Explain the reason for rejection of this supplier for Open Banking DPSQ.

Please note this reason for rejection, will be included in a notification to the Supplier.

Day (DD)	Month (MM)	Year (YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Reappoint

Please confirm if you wish to reappoint this supplier.

I Confirm

Please provide a reason

Confirm Rejection

Guidance

Please note when entering a date: CAM's will be able to reappoint suppliers (if rejected from the Appointed stage) and redirect a supplier back to Part 1 stage (if rejected from any other stage), up until the day before the date entered Suppliers will be able to reapply from the date entered

Confirm the rejection of this supplier for Open Banking DPS.

I Confirm

Explain the reason for rejection of this supplier for Open Banking DPSQ.

Please note this reason for rejection, will be included in a notification to the Supplier.

Day (DD)	Month (MM)	Year (YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>

Rejected

Please confirm you would like to send this application back to Part 1

I Confirm